

Baryon asymmetry and neutrino physics

Diego Aristizabal

IFPA Group, AGO Department, Université de Liège

Based on

JHEP 1010 (2010) 036: J. F. Kamenik (Ljubljana), M. Nemevsek (Ljubljana)

JCAP 1402 (2014) 013: E. Nardi (LNF-Frascati), Sheng Fong (Sao Paulo, U.), E. Peinado (LNF-Frascati)

[arXiv: arXiv:1401.4347]: M. Dhen (ULB), T. Hambye (ULB)

Introduction

- Baryon asymmetry
- Origin of the BA
- Baryogenesis in the SM
- Possible approaches
- Neutrino masses

Leptogenesis: “standard” case

Leptogenesis in type-III seesaw

Further developments

Conclusions

Introduction

Baryon asymmetry

The cosmic Baryon Asymmetry (BA) is derived from measurements of light elements abundances (D , ${}^3\text{He}$, ${}^4\text{He}$, ${}^7\text{Li}$) and the CMB

Introduction

● Baryon asymmetry

● Origin of the BA

● Baryogenesis in the SM

● Possible approaches

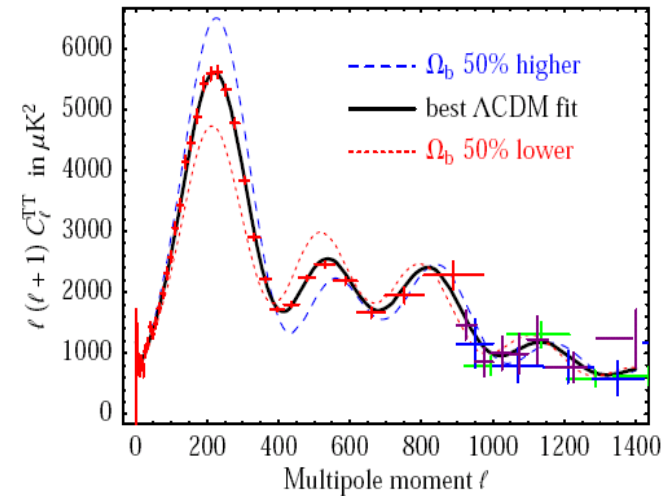
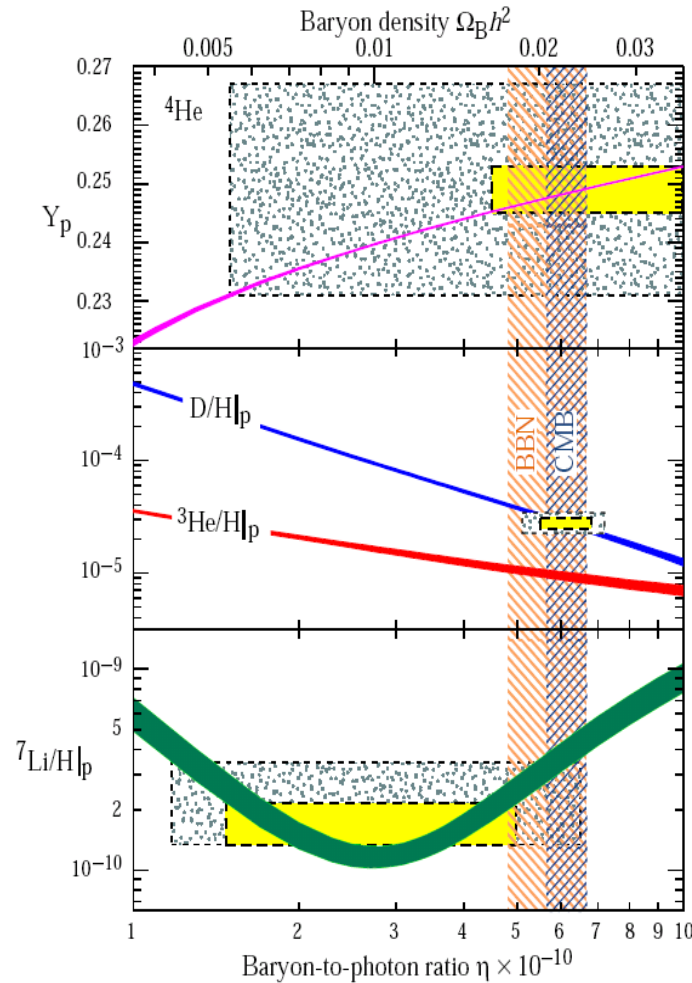
● Neutrino masses

Leptogenesis: "standard" case

Leptogenesis in type-III seesaw

Further developments

Conclusions



$$\eta = \frac{n_B - n_{\bar{B}}}{n_\gamma} \simeq (6.21 \pm 0.16) \times 10^{-10}$$

$$\eta = 2.74 \times 10^{-8} \Omega_B h^2$$

$$Y_{\Delta_B} = \frac{n_B - n_{\bar{B}}}{s} \simeq \frac{\eta}{7.04}$$

$$Y_{\Delta_B} \simeq (8.75 \pm 0.23) \times 10^{-11}$$

7-year WMAP, arXiv:1001.4538

Origin of the BA

- Could be an initial condition?... A crucial ingredient of Λ CDM is **inflation**... Any primordial ***B*** asymmetry would be **diluted**.
- The origin of the Baryon Asymmetry should be dynamic (Baryogenesis):

Sakharov Conditions

Pisma Zh.Eksp.Teor.Fiz. 5 (1967) 32-35

- ① The Baryon Asymmetry generating interactions must violate ***B***.
- ② The Baryon Asymmetry generating interactions must break CP.
- ③ The Baryon Asymmetry generating interactions must departure -at some point- from Thermodynamical Equilibrium.

Any model satisfying these conditions -in principle- constitutes a framework for baryogenesis

Introduction

- Baryon asymmetry

- Origin of the BA

- Baryogenesis in the SM

- Possible approaches

- Neutrino masses

Leptogenesis: "standard" case

Leptogenesis in type-III seesaw

Further developments

Conclusions

Baryogenesis in the SM

Qualitatively

- B is broken at the non-perturbative level (sphalerons processes)
- CP violation is provided by the CKM quark mixing matrix
- Departure from TEQ provided by the electro-weak phase transition.

Quantitatively

- CP violation too small
 - Successful baryogenesis requires strongly 1st order phase transition \Rightarrow
- $m_h < 40$ GeV while LHC: $m_h \simeq 125$ GeV **CMS, ATLAS**

X

X

The SM fails at the quantitative level

Explanation of $Y_{\Delta B}$ requires BSM physics

Introduction

• Baryon asymmetry

• Origin of the BA

• Baryogenesis in the SM

• Possible approaches

• Neutrino masses

Leptogenesis: "standard" case

Leptogenesis in type-III seesaw

Further developments

Conclusions

Possible approaches

A large number of mechanisms (models) for baryogenesis exist. Among them two of the most widely studied are:

EW baryogenesis (Cohen, Kaplan, Nelson, PLB,245,561)

EW baryogenesis models “cure” the SM pitfalls via extended scalar sectors

$$V_{\text{SM}}(\Phi) \longrightarrow V(S_i, \Phi_i) \Rightarrow \begin{cases} \text{Strongly 1}^{\text{st}} \text{ order EWPT: relaxing } m_h^{\text{max}} \\ \text{Additional CP violating sources} \end{cases}$$

SM+S, 2HDMs, MSSM... **EWB testable at the LHC!**

Leptogenesis: (Fukugita, Yanagida, PLB,174,45)

$$Y_{\Delta_L} \rightarrow Y_{\Delta_B}$$

$B + L$ violating EW sphalerons interactions

$$\mathcal{O}_{B+L} = \prod_{i=1,2,3} (q_{L_i} q_{L_i} q_{L_i} \ell_{L_i})$$

Qualitatively (quantitatively in some cases) viable in models of Majorana neutrino masses. **Linked with the origin of neutrino masses!**

Introduction

- Baryon asymmetry
- Origin of the BA
- Baryogenesis in the SM

● Possible approaches

- Neutrino masses

Leptogenesis: “standard” case

Leptogenesis in type-III seesaw

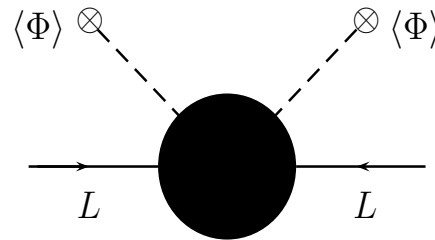
Further developments

Conclusions

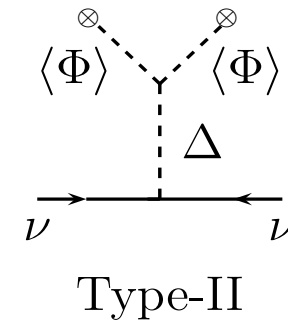
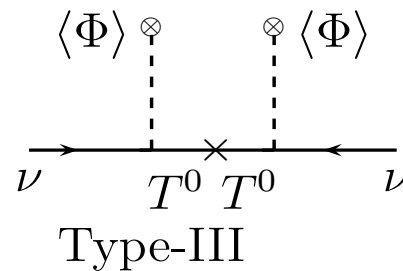
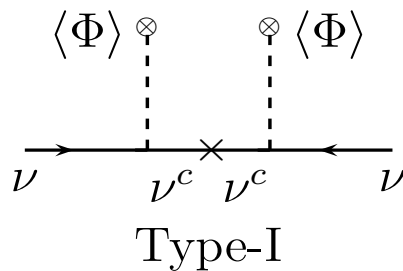
Neutrino masses

Model independent approach: induced by $\mathcal{O}_5 \sim LL\Phi\Phi \Rightarrow \Delta L = 2$

S. Weinberg, Phys. Rev. D 22, 1694 (1980)



Tree-level UV completions



Minkowski, 1977

Mohapatra & Senjanovic, 1980

Schechter & JWFV, 1980 ...

Foot, Lew, He & Joshi, 1989

Schechter, JWFV, 1980 ...

Introduction

- Baryon asymmetry
- Origin of the BA
- Baryogenesis in the SM
- Possible approaches
- Neutrino masses

Leptogenesis: "standard" case

Leptogenesis in type-III seesaw

Further developments

Conclusions

Leptogenesis: “standard” case

Introduction

Leptogenesis: “standard” case

- Type-I seesaw
- Tracking asymmetries
- Lepton flavor: a sketch
- Standard leptogenesis
- General picture

Leptogenesis in type-III seesaw

Further developments

Conclusions

Type-I seesaw

All these realizations satisfy (at least qualitatively) the Sakharov conditions.

Standard seesaw Add new fermionic EW singlets, N_R to the SM

$$\mathcal{L} = -\lambda_{i\alpha}^* \bar{\ell}_i N_{R\alpha} \tilde{H} - \frac{1}{2} \bar{N}_{R\alpha} m_{R\alpha} N_{R\alpha}^c + \text{h.c.}$$

$$M_\nu = \begin{pmatrix} 0 & m_D \\ m_D^T & m_R \end{pmatrix}$$



Diagonalization yields 3 heavy masses for the fermionic EW singlets M_i and 3 light masses m_i (**Assuming** $m_R \gg m_D$).

$$M_\nu^{\text{eff}} = -m_D m_R^{-1} m_D^T$$

The smallness of light neutrinos masses is due to the suppression of the heavy R-H neutrino masses

The standard seesaw model is the framework for standard leptogenesis

Introduction

Leptogenesis: "standard" case

● Type-I seesaw

- Tracking asymmetries
- Lepton flavor: a sketch
- Standard leptogenesis
- General picture

Leptogenesis in type-III seesaw

Further developments

Conclusions

Tracking asymmetries

Relevant dynamics in non thermal equilibrium

Distribution function f_ψ determined by Boltzmann equation

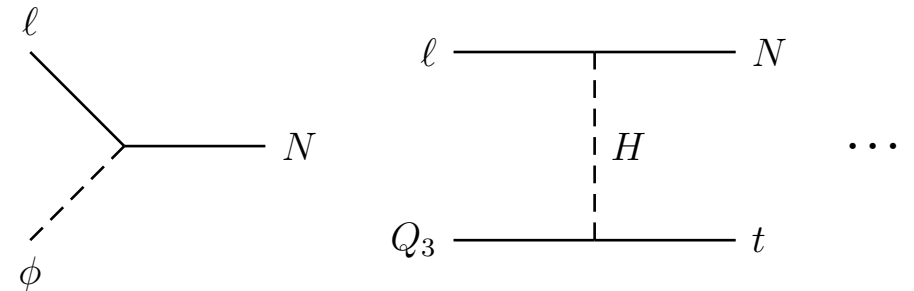
$$Y_\psi = n_\psi / s, \text{ conservation of } s/V_C$$

$$E \frac{\partial f_\psi}{\partial t} - \underbrace{\frac{\dot{R}}{R} |\vec{p}|^2 \frac{\partial f_\psi}{\partial E}}_{\text{Expansion}} = \underbrace{C[f]}_{\text{Dynamics}}$$

$$\frac{dY_\psi}{dz} = \underbrace{\bar{C}[f]}_{\text{Dynamics}}$$

BEQs for standard leptogenesis

$$\frac{dY_N}{dz} \propto (\gamma_D + \gamma_{\text{Sca}})$$



$$\frac{d}{dz} Y_{\Delta(B-L)} = S + W$$

$S \propto \epsilon_N$: processes capable of producing $B - L$
 W : processes capable of erasing $B - L$
 $z = M_N/T$

Introduction

Leptogenesis: "standard" case

- Type-I seesaw
- Tracking asymmetries
- Lepton flavor: a sketch
- Standard leptogenesis
- General picture

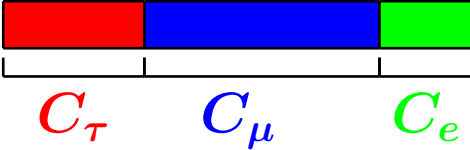
Leptogenesis in type-III seesaw

Further developments

Conclusions

Lepton flavor: a sketch

SM lepton Yukawa reactions are slow: $\Gamma_i \ll H$

$$\ell = \sum_i C_i l_i$$


$\Gamma_i \gg H$ and $\Gamma_i \gg \Gamma_{\text{Coherence}}$

$$l_\tau \quad \text{[red bar]} \quad \ell = \sum_i C_i l_i \quad \text{[blue bar] [green bar]}$$

Possible T regimes

- $10^{12} \text{ GeV} \lesssim T \lesssim 10^{13} \text{ GeV}$ h_b and h_τ Yukawa interactions are in TEQ
- $10^9 \text{ GeV} \lesssim T \lesssim 10^{12} \text{ GeV}$ Also EW sphalerons are in TEQ
- $10^8 \text{ GeV} \lesssim T \lesssim 10^{11} \text{ GeV}$ Second Yukawa generation enter into TEQ
- $T \ll 10^8 \text{ GeV}$ All SM Yukawa interactions and EWS are in TEQ

Introduction

Leptogenesis: "standard" case

- Type-I seesaw
- Tracking asymmetries
- Lepton flavor: a sketch
- Standard leptogenesis
- General picture

Leptogenesis in type-III seesaw

Further developments

Conclusions

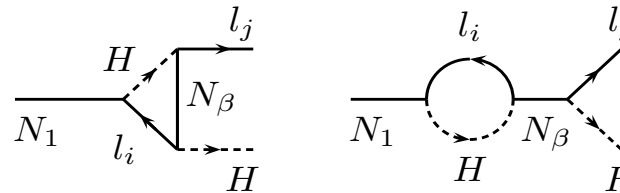
Standard leptogenesis

The fermionic singlet mass spectrum: $M_1 \ll M_{2,3}$. The lepton asymmetry proceeds via N_{R1} out-of-equilibrium and CP violating decays

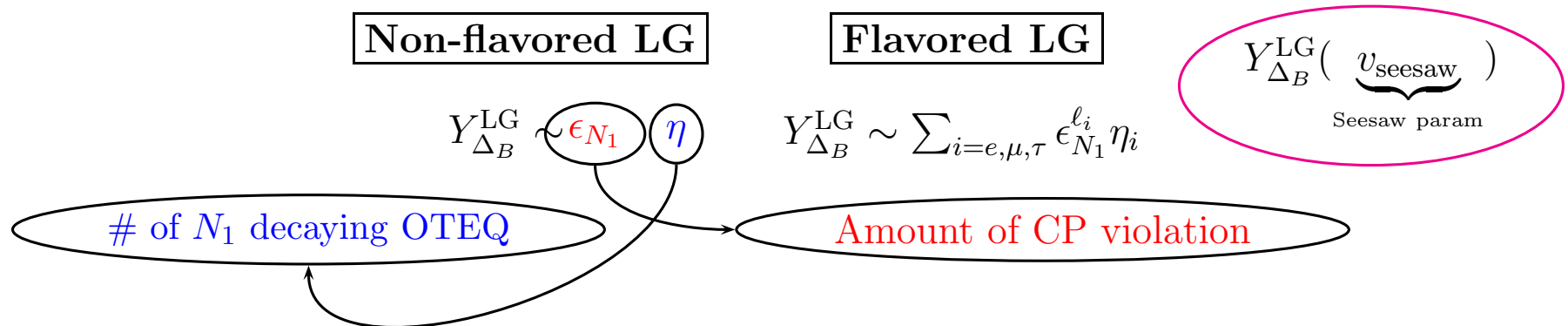
$$\Gamma_D = \Gamma(N_{R1} \rightarrow \ell \tilde{H}, \bar{\ell} \tilde{H}^\dagger) = \frac{M_1^2}{8\pi v^2} \sum_{i=e,\mu,\tau} \tilde{m}_{i1} \quad \boxed{\tilde{m}_{i\alpha} \propto \lambda_{i\alpha}^* \lambda_{i\alpha}}$$

- Majorana mass term m_R is a L violating source ($\Delta L = 2$).
- $\lambda_{i\alpha} \longrightarrow$ contain new physical CPV phases. CPV asymmetries arise at the one-loop level

$$\epsilon_{N_1}^{l_i} = \frac{\Gamma_{i-\bar{i}} - \bar{\Gamma}_i}{\Gamma_{i+\bar{i}}}$$



- Departure from thermal equilibrium provided by the expansion. $\Gamma_D \lesssim H(z = M/T = 1)$



Introduction

Leptogenesis: "standard" case

- Type-I seesaw
- Tracking asymmetries
- Lepton flavor: a sketch
- Standard leptogenesis
- General picture

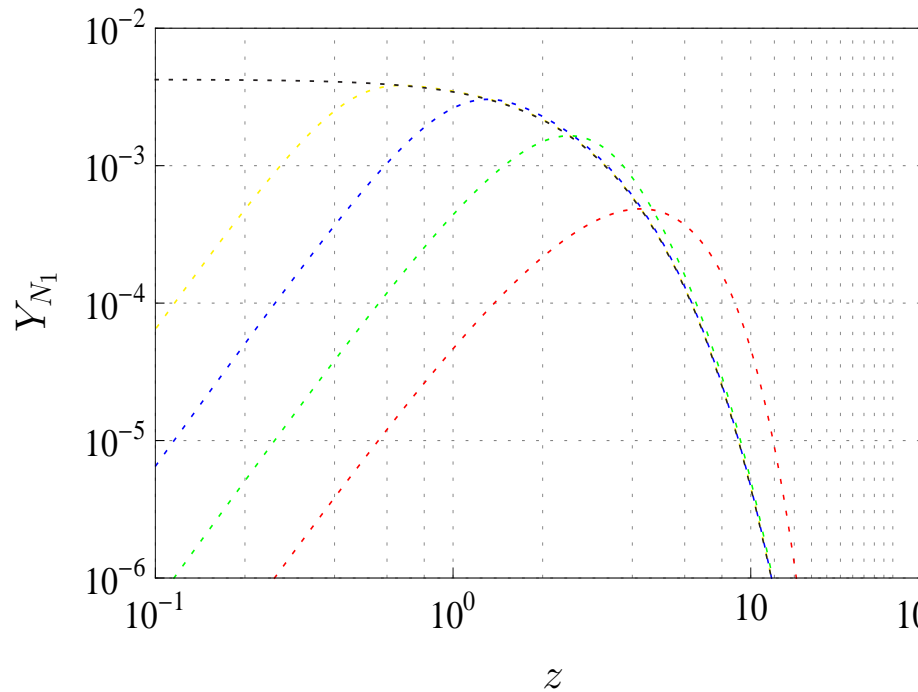
Leptogenesis in type-III seesaw

Further developments

Conclusions

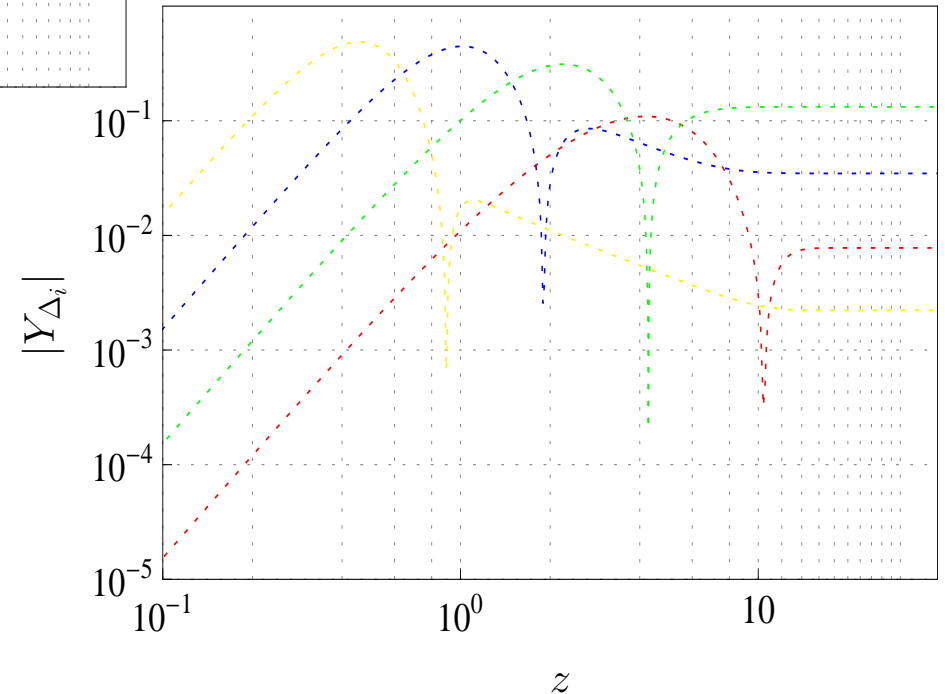
General picture

A general picture is given by numerical integration of the kinetic equations:



Generation of the $B - L$ asymmetry for different \tilde{m}

Populating the heat bath with RHNs for different \tilde{m}



Introduction

Leptogenesis: "standard" case

- Type-I seesaw
- Tracking asymmetries
- Lepton flavor: a sketch
- Standard leptogenesis
- General picture

Leptogenesis in type-III seesaw

Further developments

Conclusions

Leptogenesis in type-III seesaw

Introduction

Leptogenesis: "standard" case

Leptogenesis in type-III seesaw

- Type-III seesaw
- BEQs
- Lepton asymmetry: aligned case
- Including flavor I
- Including flavor II

Further developments

Conclusions

Type-III seesaw

In type-III seesaw neutrino masses are generated via the interchange of fermionic EW triplets

$$\mathcal{L} = \bar{T}_\alpha^A \gamma^\mu D_\mu T_\alpha^A - \lambda_{i\alpha}^* \bar{\ell}_i \tau^A T_\alpha^A \tilde{H} - \frac{1}{2} \bar{T}_\alpha^A M_{T_\alpha} (T_\alpha^A)^C + \text{h.c.}$$

$$T_\alpha = \begin{pmatrix} T_\alpha^0 & \sqrt{2}T_\alpha^+ \\ \sqrt{2}T_\alpha^- & -T_\alpha^0 \end{pmatrix}$$

T_α^0 responsible for ν masses

$$\mathbf{m}_\nu^{eff} = -v^2 \boldsymbol{\lambda} \cdot \hat{\mathbf{M}}_T^{-1} \cdot \boldsymbol{\lambda}^T$$

The new CP violating sources in $\boldsymbol{\lambda}$ induce CP violating T_α decays:



- Hierarchical T_α spectrum $\omega_\beta = M_{T_\beta}^2 / M_{T_\alpha}^2 \gg 1$

$$\epsilon_{T_\alpha}^{\ell_j} \lesssim 10^{-5} \left(\frac{M_{T_\alpha}}{10^{10} \text{ GeV}} \right) \left(\frac{m_3}{1 \text{ eV}} \right) \frac{\tilde{m}_{j\alpha}}{\tilde{m}_\alpha}$$

Successful leptogenesis only possible for $M_T \gtrsim 10^{10} \text{ GeV}$

- Quasi-degenerate T_α spectrum $\sqrt{\omega_\beta} \sim 1 + \Gamma_\beta / M_\alpha$

Wave function piece resonantly enhanced
Successful leptogenesis $M_T \sim \mathcal{O}(\text{TeV})$

Introduction

Leptogenesis: "standard" case

Leptogenesis in type-III seesaw

● Type-III seesaw

● BEQs

● Lepton asymmetry: aligned case

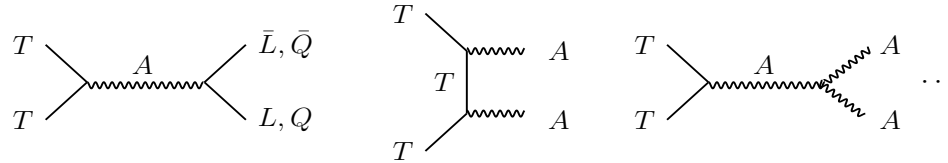
● Including flavor I

● Including flavor II

Further developments

Conclusions

Gauge reactions drive the T distribution close to a thermal equilibrium



Precise determination of the lepton asymmetry requires solution of BEQs

$$\frac{dY_{T_\alpha}}{dz_\alpha} = -\frac{1}{sHz_\alpha} \left[\left(\frac{Y_{T_\alpha}}{Y_{T_\alpha}^{\text{Eq}}} - 1 \right) \gamma_{D_\alpha} + \left(\frac{Y_{T_\alpha}^2}{(Y_{T_\alpha}^{\text{Eq}})^2} - 1 \right) \gamma_{A_\alpha} \right]$$

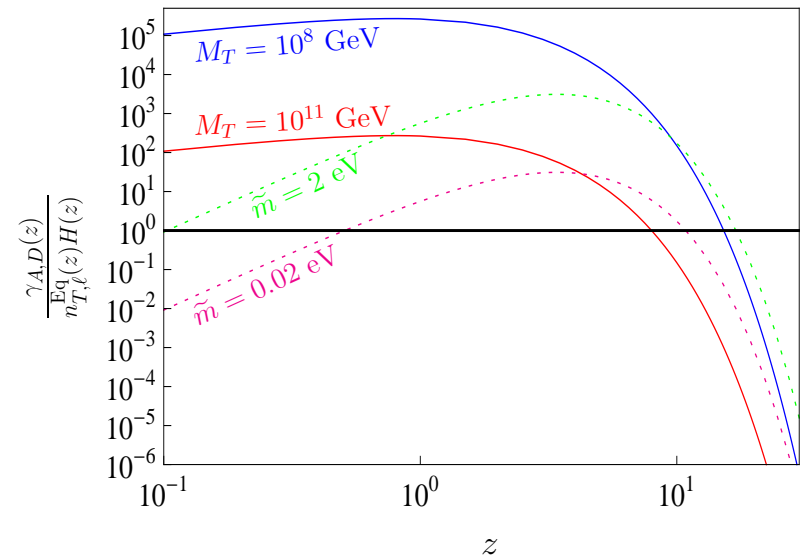
$$\frac{dY_{\Delta_i}}{dz_\alpha} = -\frac{1}{sHz_\alpha} \left[\left(\frac{Y_{T_\alpha}}{Y_{T_\alpha}^{\text{Eq}}} - 1 \right) \epsilon_{T_\alpha}^{\ell_i} + \frac{K_{i\alpha}}{2Y_\ell^{\text{Eq}}} \sum_{j=e,\mu,\tau} C_{ij}^\ell Y_{\Delta_j} \right] \gamma_{D_\alpha}$$

Flavor projectors: $K_{i\alpha} = \frac{\tilde{m}_{i\alpha}}{\tilde{m}_\alpha}$

$Y_{\ell_i} = \sum_{j=e,\mu,\tau} C_{ij}^\ell Y_{\Delta_j}$

The generation of a L asymmetry proceeds according to:

$$\frac{\gamma_A}{n_T^{\text{Eq}} H} \gtrsim 1 \Rightarrow \begin{cases} \frac{\gamma_D}{n_\ell^{\text{Eq}} H} \gtrsim 1 & \text{ID decoupled} \\ \frac{\gamma_D}{n_\ell^{\text{Eq}} H} \gtrsim 1 & \text{ID still active} \\ \frac{\gamma_A}{\gamma_D} \sim \frac{g^4}{M_T \tilde{m}} \end{cases}$$



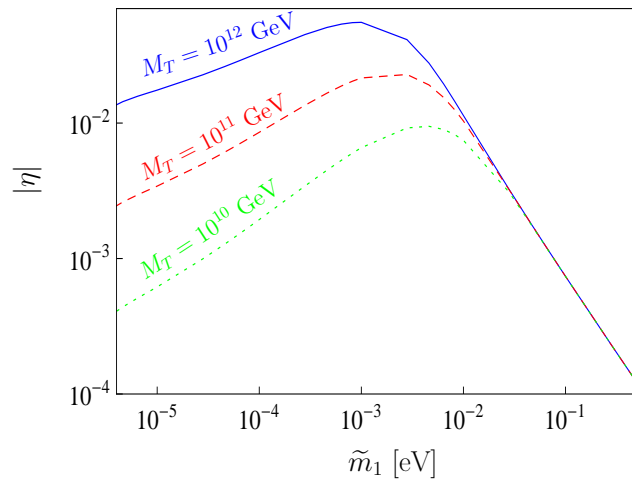
Lepton asymmetry: aligned case

In the case of a hierarchical triplet spectrum the asymmetry is determined by the lightest triplet (T_1):

$$Y_{\Delta_{B-L}} = 3 \times \sum_{i=e,\mu,\tau} \epsilon_{T_1}^{\ell_i} Y_T^{\text{Eq}} \eta_i$$

$\eta_{i\alpha}$: Efficiency in flavor ℓ_i ($[0,1]$)

Compared with the standard case due to the couplings with $A = W_a, B$ there are several differences:



Small \tilde{m}

- η strongly depends on M_T
- $M_T \lesssim 10^{12} \Rightarrow \eta_{III} \ll \eta_I$

Large \tilde{m}

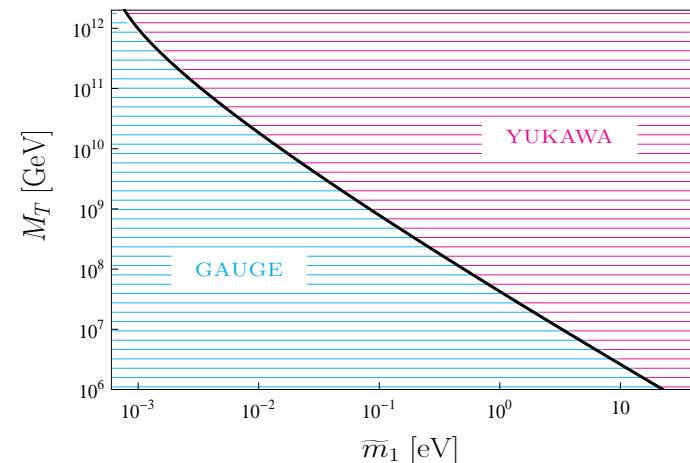
- $\eta \neq \eta(M_T) \Rightarrow$ as in standard leptogenesis
- There is a \tilde{m}_{\min} for which $\gamma_A < \gamma_D$

Gauge region

- At gauge decoupling ID are decoupled too

Yukawa region

- ID are active when $\gamma_A/n_T^{\text{Eq}} H \lesssim 1$



Introduction

Leptogenesis: "standard" case

Leptogenesis in type-III seesaw

• Type-III seesaw

• BEQs

• Lepton asymmetry: aligned case

• Including flavor I

• Including flavor II

Further developments

Conclusions

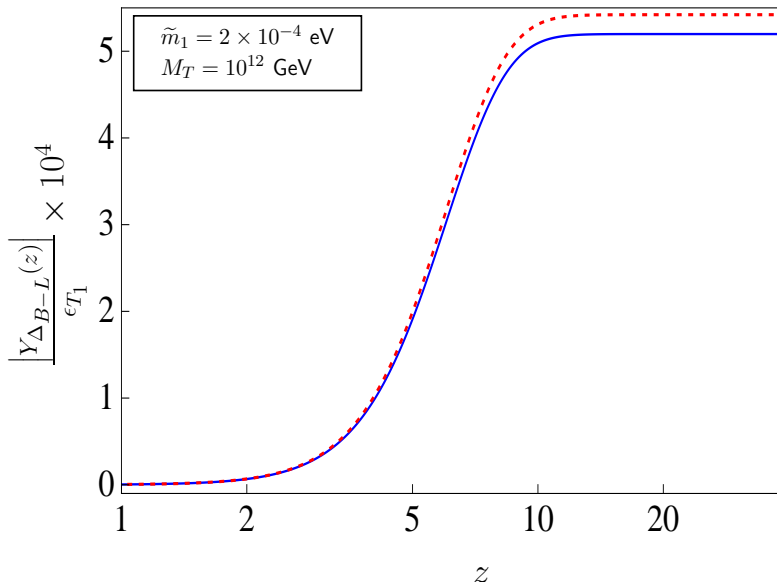
Including flavor I

The inclusion of flavor should have an impact on the final asymmetry.
Numerical results for a representative “point”

★ $K_{11} = 0.99$ ($K_{\tau 1} = 1 - K_{11}$), $\epsilon_{T_1}^{\ell_1} = -0.1 \times \epsilon_{T_1}$, $\epsilon_{T_1}^{\ell_\tau} = 1.1 \times \epsilon_{T_1}$ with $\epsilon_{T_1} = 10^{-5}$

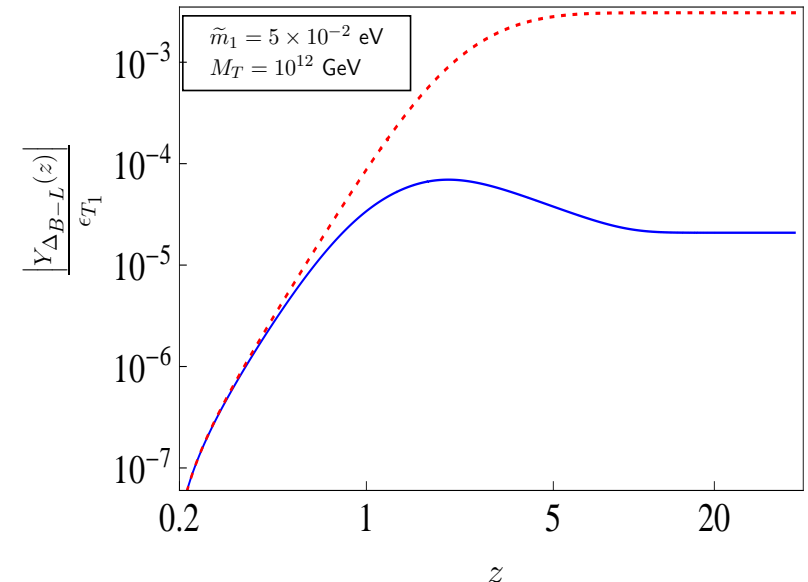
Gauge “region”

The T_1 abundance is efficiently diminished by γ_A . Flavor effects are tiny ($\sim 5\%$ for ★)



Yukawa “region”

At $z_1 \gg 1$ the dynamics of T_1 is entirely determined by γ_D . Flavor effects are sizable (a factor $\sim 10^2$ for ★)



Introduction

Leptogenesis: “standard” case

Leptogenesis in type-III seesaw

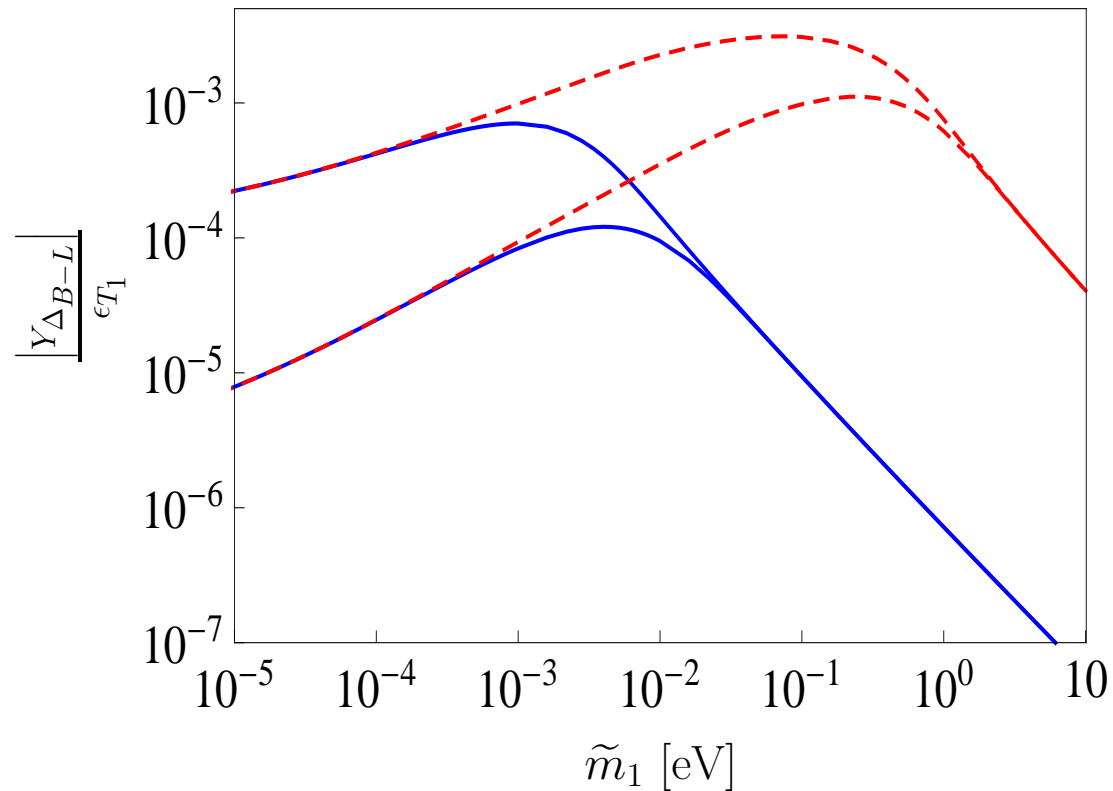
- Type-III seesaw
- BEQs
- Lepton asymmetry: aligned case
- Including flavor I
- Including flavor II

Further developments

Conclusions

Including flavor II

Flavor effects are relevant as long as leptogenesis takes place within the “Yukawa region”. The minimum \tilde{m} for which flavor effects become relevant depends upon M_T .



Introduction

Leptogenesis: “standard” case

Leptogenesis in type-III seesaw

● Type-III seesaw

● BEQs

● Lepton asymmetry: aligned case

● Including flavor I

● Including flavor II

Further developments

Conclusions

Introduction

Leptogenesis: "standard" case

Leptogenesis in type-III seesaw

Further developments

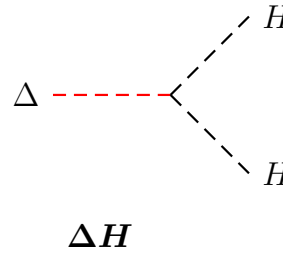
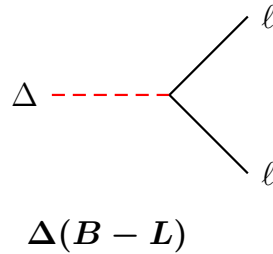
- Type-II: some remarks (A)
- Type-II: some remarks (B)
- Cloistered baryogenesis

Conclusions

Further developments

Type-II: some remarks (A)

$$\mathcal{L} = \mathcal{L}_{\text{gauge}} + \underbrace{Y\ell\ell\Delta}_{\Delta(B-L)} + \underbrace{\mu H H \Delta}_{\Delta H}$$



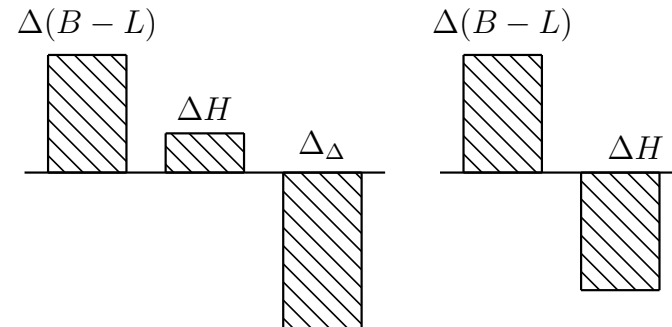
$$\Delta \neq \Delta^\dagger$$

Asymmetry in Δ

For $T > 10^{15}$ GeV all SM reactions: $\Gamma_{\text{SM}} \ll H$

Asymmetries tracked with Boltzmann equations

Hypercharge neutrality of the heat bath



For $T < 10^{13}$ GeV lepton flavor plays a role

Introduction

Leptogenesis: "standard" case

Leptogenesis in type-III seesaw

Further developments

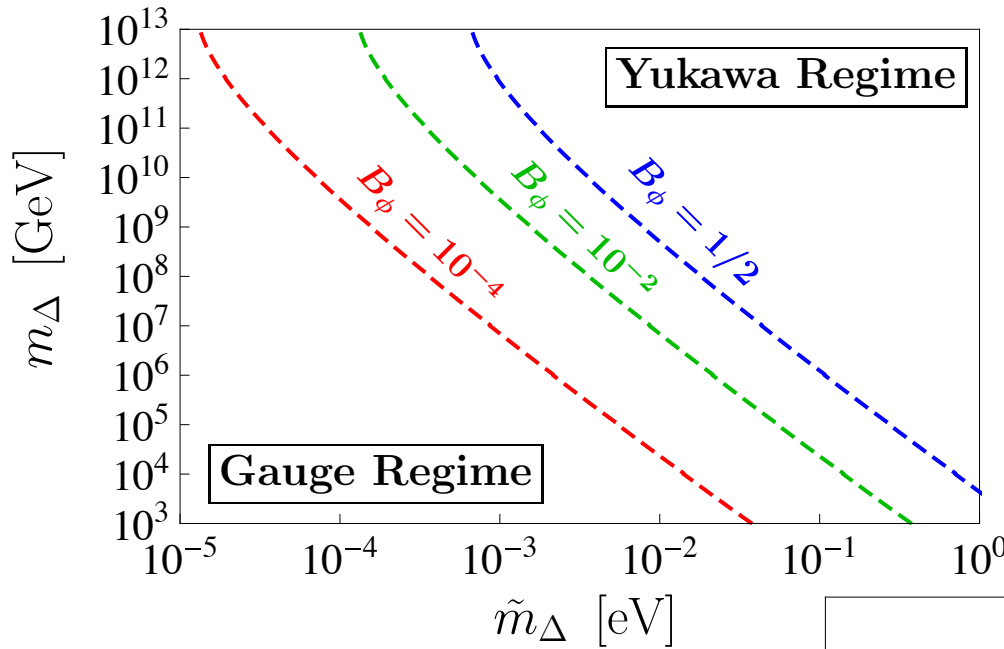
● Type-II: some remarks (A)

● Type-II: some remarks (B)

● Cloistered baryogenesis

Conclusions

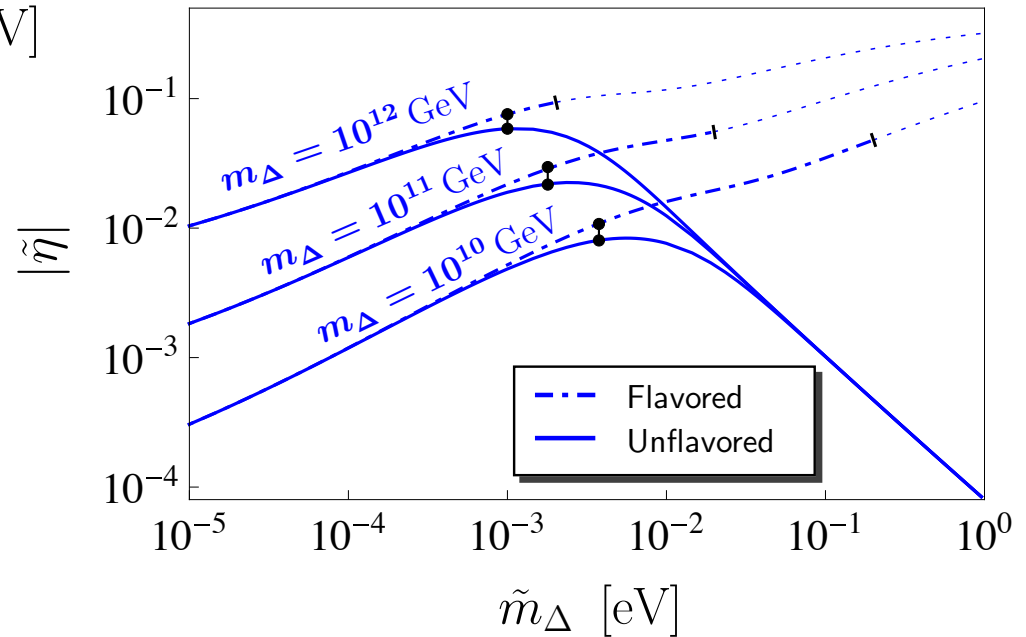
Type-II: some remarks (B)



$$\gamma_D \propto \tilde{m}_\Delta \frac{m_\Delta}{\sqrt{B_\phi(1-B_\phi)}}$$

$$B_\phi + B_\ell = 1$$

$$B_\phi = 0.5$$



Introduction

Leptogenesis: "standard" case

Leptogenesis in type-III seesaw

Further developments

● Type-II: some remarks (A)

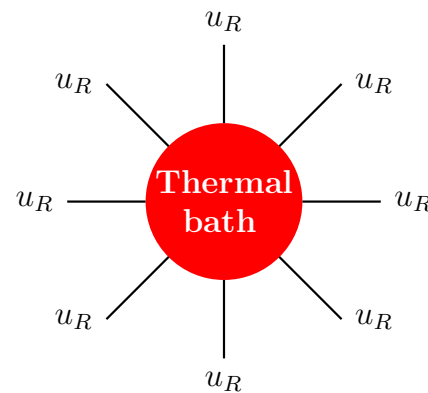
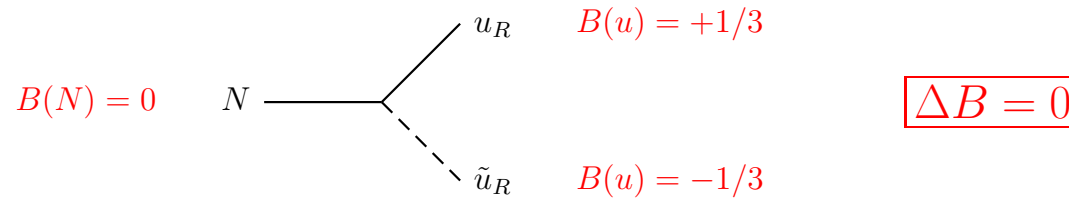
● Type-II: some remarks (B)

● Cloistered baryogenesis

Conclusions

Cloistered baryogenesis

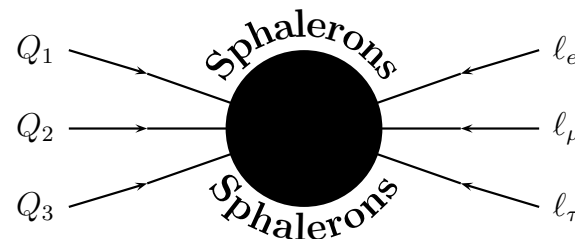
RHNs coupled with RH up-type quarks. Gauge invariance requires a new colored scalar \tilde{u} :



A fraction of B_u goes into SM d.o.f

In particular Q_L

\tilde{u} is chemically decoupled



In the heat bath

$$\Delta B_{\tilde{u}} \neq \Delta B_{\text{SM}}$$

$$\Delta B \neq 0$$

Baryogenesis via B conserving decays provided one of the states is chemically decoupled

Introduction

Leptogenesis: "standard" case

Leptogenesis in type-III seesaw

Further developments

● Type-II: some remarks (A)

● Type-II: some remarks (B)

● Cloistered baryogenesis

Conclusions

Introduction

Leptogenesis: "standard" case

Leptogenesis in type-III seesaw

Further developments

Conclusions

● Final remarks

Conclusions

Introduction

Leptogenesis: "standard" case

Leptogenesis in type-III seesaw

Further developments

Conclusions

● Final remarks

- ✍ The baryon asymmetry of the Universe certainly calls for BSM physics.
- ✍ Leptogenesis is probably among the best motivated scenarios where this puzzle can be addressed:
 - ✓ Tree-level seesaw models provide natural playgrounds.
 - ✓ They have been throughout analyzed
 - .
- ✍ Testability... Evidence might weakness or favor the case for leptogenesis.